

# **CompTIA Advanced Security Practitioner Certification Exam Objectives (CAS-001)**

### **INTRODUCTION**

The CompTIA Advanced Security Practitioner (CASP) Certification is a vendor-neutral credential. The CASP exam is an internationally targeted validation of advanced-level security skills and knowledge. While there is no *required* prerequisite, the CASP certification is intended to follow CompTIA Security+ or equivalent experience and has a technical, "hands-on" focus at the enterprise level.

The CASP exam will certify that the successful candidate has the technical knowledge and skills required to conceptualize, design, and engineer secure solutions across complex enterprise environments. The candidate will apply critical thinking and judgment across a broad spectrum of security disciplines to propose and implement solutions that map to enterprise drivers.

The CompTIA Advanced Security Practitioner (CASP) Certification is aimed at an IT security professional who has:

A minimum of 10 years experience in IT administration including at least 5 years of hands-on technical security experience.

This examination blueprint includes domain weighting, test objectives, and example content. Example topics and concepts are included to clarify the test objectives and should not be construed as a comprehensive listing of all the content of this examination.

The table below lists the domain areas measured by this examination and the approximate extent to which they are represented in the examination:

Domain	% of Examination
1.0 Enterprise Security	40%
2.0 Risk Mgmt, Policy/Procedure and Legal	24%
3.0 Research & Analysis	14%
4.0 Integration of Computing, Communications, and Business Disciplines	22%
Total	100%

\*\*Candidates should have basic knowledge of vendor specific tools and technologies, as this knowledge may be required for the CompTIA CASP Certification Exam. **CompTIA has included a sample list of hardware and software at the end of this document to assist candidates as they prepare for the CASP exam.** This list may also be helpful for training companies who wish to create a lab component to their training offering. CompTIA Advanced Security Practitioner Certification Exam Objectives 1 of 17 Copyright ©2011 by the Computing Technology Industry Association. All rights reserved. The CASP Certification Exam Objectives are subject to change without notice. The lists of examples provided in bulleted format below each objective are not exhaustive lists. Other examples of technologies, processes or tasks pertaining to each objective may also be included on the exam although not listed or covered in this objectives document.

## **1.0 Enterprise Security**

- 1.1 Distinguish which cryptographic tools and techniques are appropriate for a given situation.
  - Cryptographic applications and proper implementation
  - Advanced PKI concepts
    - $\circ$  Wild card
    - OCSP vs. CRL
    - Issuance to entities
    - o Users
    - Systems
    - $\circ$  Applications
  - Implications of cryptographic methods and design
    - Strength vs. performance vs. feasibility to implement vs. interoperability
  - Transport encryption
  - Digital signature
  - Hashing
  - Code signing
  - Non-repudiation
  - Entropy
  - Pseudo random number generation
  - Perfect forward secrecy
  - Confusion
  - Diffusion

1.2 Distinguish and select among different types of virtualized, distributed and shared computing

- Advantages and disadvantages of virtualizing servers and minimizing physical space requirements
- VLAN
- Securing virtual environments, appliances and equipment
- Vulnerabilities associated with a single physical server hosting multiple companies' virtual machines
- Vulnerabilities associated with a single platform hosting multiple companies' virtual machines
- Secure use of on-demand / elastic cloud computing
  - Provisioning
  - De-provisioning

- Data remnants
- Vulnerabilities associated with co-mingling of hosts with different security requirements
  - o VMEscape
  - Privilege elevation
- Virtual Desktop Infrastructure (VDI)
- Terminal services

1.3 Explain the security implications of enterprise storage

- Virtual storage
- NAS
- SAN
- vSAN
- iSCSI
- FCOE
- LUN masking
- HBA allocation
- Redundancy (location)
- Secure storage management
  - o Multipath
  - Snapshots
  - Deduplication

1.4 Integrate hosts, networks, infrastructures, applications and storage into secure comprehensive solutions

- Advanced network design
  - Remote access
  - o Placement of security devices
  - Critical infrastructure / Supervisory Control and Data Acquisition (SCADA)
  - o VoIP
  - o IPv6
- Complex network security solutions for data flow
- Secure data flows to meet changing business needs
- Secure DNS
  - Securing zone transfer
  - o TSIG
- Secure directory services
  - o LDAP
  - o AD
  - o Federated ID
  - Single sign on
- Network design consideration
  - o Building layouts
  - Facilities management

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- Multitier networking data design considerations
- Logical deployment diagram and corresponding physical deployment diagram of all relevant devices
- Secure infrastructure design (e.g. decide where to place certain devices)
- Storage integration (security considerations)
- Advanced configuration of routers, switches and other network devices
  - Transport security
  - Trunking security
  - Route protection
- ESB
- SOA
- SIEM
- Database Access Monitor (DAM)
- Service enabled
- WS-security

1.5 Distinguish among security controls for hosts

- Host-based firewalls
- Trusted OS (e.g. how and when to use it)
- End point security software
  - Anti-malware
  - o Anti-virus
  - Anti-spyware
  - Spam filters
- Host hardening
  - Standard operating environment
  - Security/group policy implementation
  - Command shell restrictions
  - Warning banners
  - Restricted interfaces
- Asset management (inventory control)
- Data exfiltration
- HIPS / HIDS
- NIPS/NIDS

1.6 Explain the importance of application security

- Web application security design considerations
  - Secure: by design, by default, by deployment
- Specific application issues
  - XSS
  - Click-jacking
  - Session management
  - Input validation
  - o SQL injection
- Application sandboxing

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- Application security frameworks
  - o Standard libraries
  - o Industry accepted approaches
- Secure coding standards
- Exploits resulting from improper error and exception handling
- Privilege escalation
- Improper storage of sensitive data
- Fuzzing/false injection
- Secure cookie storage and transmission
- Client-side processing vs. server-side processing
  - o AJAX
  - State management
  - o JavaScript
- Buffer overflow
- Memory leaks

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- Integer overflows
  - Race conditions
    - Time of check
    - Time of use
- Resource exhaustion
- 1.7 Given a scenario, distinguish and select the method or tool that is appropriate to conduct an assessment
  - Tool type
    - Port scanners
    - o Vulnerability scanners
    - Protocol analyzer
    - Switchport analyzer
    - Network enumerator
    - Password cracker
    - o Fuzzer
    - HTTP interceptor
    - Attacking tools/frameworks
  - Methods
    - Vulnerability assessment
    - Penetration testing
    - Black box
    - White box
    - Grey Box
    - Fingerprinting
    - Code review
    - Social engineering

## 2.0 Risk Management, Policy / Procedure and Legal

- 2.1 Analyze the security risk implications associated with business decisions
  - Risk management of new products, new technologies and user behaviors
  - New or changing business models/strategies
    - Partnerships
    - Outsourcing
    - $\circ$  Mergers
  - Internal and external influences
    - $\circ \quad \text{Audit findings} \\$
    - Compliance
    - Client requirements
    - Top level management
  - Impact of de-perimiterization (e.g. constantly changing network boundary)
    - Considerations of enterprise standard operating environment (SOE) vs. allowing personally managed devices onto corporate networks
- 2.2 Execute and implement risk mitigation strategies and controls
  - Classify information types into levels of CIA based on organization/industry
  - Determine aggregate score of CIA
  - Determine minimum required security controls based on aggregate score
  - Conduct system specific risk analysis
  - Make risk determination
    - Magnitude of impact
    - Likelihood of threat
  - Decide which security controls should be applied based on minimum requirements
    - o Avoid
    - o Transfer
    - o Mitigate
    - o Accept
  - Implement controls
  - ESA frameworks
  - Continuous monitoring
- 2.3 Explain the importance of preparing for and supporting the incident response and recovery process
  - E-Discovery
    - Electronic inventory and asset control
    - Data retention policies
    - Data recovery and storage
    - o Data ownership
    - Data handling
  - Data breach
    - o Recovery
    - Minimization
    - Mitigation and response

- System design to facilitate incident response taking into account types of violations
  - Internal and external
  - Privacy policy violations
  - Criminal actions
  - Establish and review system event and security logs
- Incident and emergency response

2.4 Implement security and privacy policies and procedures based on organizational requirements.

- Policy development and updates in light of new business, technology and environment changes
- Process/procedure development and updated in light of policy, environment and business changes
- Support legal compliance and advocacy by partnering with HR, legal, management and other entities
- Use common business documents to support security
  - Interconnection Security Agreement (ISA)
  - Memorandum of Understanding (MOU)
  - o Service Level Agreement (SLA)
  - Operating Level Agreement (OLA)
  - Non-Disclosure Agreement (NDA)
  - Business Partnership Agreement (BPA)
- Use general privacy principles for PII / Sensitive PII
- Support the development of policies that contain
  - Separation of duties
  - Job rotation
  - Mandatory vacation
  - Least privilege
  - Incident response
  - Forensic tasks
  - On-going security
  - Training and awareness for users
  - o Auditing requirements and frequency

### 3.0 Research and Analysis

- 3.1 Analyze industry trends and outline potential impact to the enterprise
  - Perform on-going research
    - Best practices
    - New technologies
    - New security systems and services
    - Technology evolution (e.g. RFCs, ISO)
  - Situational awareness
    - Latest client-side attacks

- o Threats
- Counter zero day
- Emergent issues
- Research security implications of new business tools
  - Social media/networking
  - Integration within the business (e.g. advising on the placement of company material for the general public)
- Global IA industry/community
  - Conventions
  - o Attackers
  - Emerging threat sources
- Research security requirements for contracts
  - Request for Proposal (RFP)
  - Request for Quote (RFQ)
  - Request for Information (RFI)
  - o Agreements
- 3.2 Carry out relevant analysis for the purpose of securing the enterprise
  - Benchmark
  - Prototype and test multiple solutions
  - Cost benefit analysis (ROI, TCO)
  - Analyze and interpret trend data to anticipate cyber defense aids
  - Review effectiveness of existing security
  - Reverse engineer / deconstruct existing solutions
  - Analyze security solutions to ensure they meet business needs
    - Specify the performance
    - o Latency
    - o Scalability
    - Capability
    - o Usability
    - o Maintainability
    - Availability (MTTR, MTBF)
  - Conduct a lessons-learned / after-action review
  - Use judgment to solve difficult problems that do not have a best solution
  - Conduct network traffic analysis

### **4.0 Integration of Computing, Communications and Business Disciplines**

4.1 Integrate enterprise disciplines to achieve secure solutions

- Interpreting security requirements and goals to communicate with other disciplines
  - Programmers
  - Network engineers
  - Sales staff

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- Provide guidance and recommendations to staff and senior management on security processes and controls
- Establish effective collaboration within teams to implement secure solutions
- Disciplines
  - Programmer
  - Database administrator
  - Network administrator
  - o Management
  - Stake holders
  - $\circ$  Financial
  - o HR
  - Emergency response team
  - o Facilities manager
  - Physical security manager

4.2 Explain the security impact of inter-organizational change

- Security concerns of interconnecting multiple industries
  - Rules, policies and regulations
- Design considerations during mergers, acquisitions and de-mergers
- Assuring third party products only introduce acceptable risk
  - Custom developed
  - COTS
- Network secure segmentation and delegation
- Integration of products and services

4.3 Select and distinguish the appropriate security controls with regard to communications and collaboration

- Unified communication security
  - Web conferencing
  - Video conferencing
  - Instant messaging
  - Desktop sharing
  - Remote assistance
  - o Presence
  - o Email
  - Telephony
- VoIP security
- VoIP implementation
- Remote access
- Enterprise configuration management of mobile devices
- Secure external communications
- Secure implementation of collaboration platforms
- Prioritizing traffic (QoS)
- Mobile devices
  - Smart phones, IP cameras, laptops, IP based devices

4.4 Explain advanced authentication tools, techniques and concepts

- Federated identity management (SAML)
- XACML
- SOAP
- Single sign on
- SPML
- Certificate based authentication
- Attestation

4.5 Carry out security activities across the technology life cycle

- End to end solution ownership
- Understanding results of solutions in advance
  - Operational activities
  - Maintenance
  - o Decommissioning
  - General change management
- Systems Development Life Cycle
  - Security System Development Life Cycle (SSDLC) / Security Development Life Cycle (SDL)
  - Security Requirements Traceability Matrix (SRTM)
- Adapt solutions to address emerging threats and security trends
- Validate system designs

#### CASP ACRONYMS

3DES – Triple Digital Encryption Standard

AAA – Authentication, Authorization, and Accounting

ACL – Access Control List

AD—Active Directory

AES - Advanced Encryption Standard

AES256 – Advanced Encryption Standards 256bit

AH - Authentication Header

ALE - Annualized Loss Expectancy

AP - Access Point

ARO - Annualized Rate of Occurrence

ARP - Address Resolution Protocol

AUP - Acceptable Use Policy

BCP – Business Continuity Planning

BIOS - Basic Input / Output System

BOTS – Network Robots

BPA - Business Partnership Agreement

CA – Certificate Authority

CAC - Common Access Card

CAN - Controller Area Network

CCMP - Counter-Mode/CBC-Mac Protocol

CCTV - Closed-circuit television

CERT – Computer Emergency Response Team

CHAP – Challenge Handshake Authentication Protocol

CIA - Cryptographic Information Application

CIA – Confidentiality, Integrity, and Availability

CIFS- Common Internet File System

CIRT – Computer Incident Response Team

CISO – Chief Information Security Officer

CMDB- Configuration Management Database

**COOP-** Continuity of Operations

CRC – Cyclical Redundancy Check

CredSSP – Credential Security Support Provider

CRL - Certification Revocation List

CRM- Customer Relationship Management

DAC – Discretionary Access Control

DDOS - Distributed Denial of Service

DEP – Data Execution Prevention

DES – Digital Encryption Standard

DHCP – Dynamic Host Configuration Protocol

DLL - Dynamic Link Library

DLP - Data Loss Prevention

DMZ – Demilitarized Zone

DNS – Domain Name Service (Server)

DOS – Denial of Service

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DRP – Disaster Recovery Plan

DSA – Digital Signature Algorithm

EAP - Extensible Authentication Protocol

ECC - Elliptic Curve Cryptography

EFS – Encrypted File System

ELA- Enterprise License Agreement

EMI – Electromagnetic Interference

ESA- Enterprise Security Architecture

ESB—Enterprise Service Bus

ESP – Encapsulated Security Payload

FCOE – Fiber Channel Over Ethernet

FTP – File Transfer Protocol

GPU - Graphic Processing Unit

GRC – Governance, Risk, & Compliance

GRE - Generic Routing Encapsulation

HBA- Host Based Adapter

HBA - Host Based Authentication

HDD – Hard Disk Drive

HIDS – Host Based Intrusion Detection System

HIPS – Host Based Intrusion Prevention System

HMAC – Hashed Message Authentication Code

HSM – Hardware Security Module

HTTP – Hypertext Transfer Protocol

HTTPS – Hypertext Transfer Protocol over SSL

HVAC – Heating, Ventilation Air Conditioning

IaaS - Infrastructure as a Service

ICMP - Internet Control Message Protocol

ID – Identification

IDF- Intermediate Distribution Frame

IdM- Identity Management

**IDP-** Identity Provider

IDS – Intrusion Detection System

IETF – Internet Engineering Task Force

IKE – Internet Key Exchange

IM - Instant messaging

IMAP4 - Internet Message Access Protocol v4

IP - Internet Protocol

IPS – Intrusion Prevention Systems

IPSec – Internet Protocol Security

IRC - Internet Relay Chat

**ISA--Interconnection Security Agreement** 

ISP - Internet Service Provider

IV - Initialization Vector

KDC - Key Distribution Center

L2TP – Layer 2 Tunneling Protocol

LANMAN - Local Area Network Manager

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LDAP – Lightweight Directory Access Protocol

LEAP – Lightweight Extensible Authentication Protocol

LUN – Link Uninhibit

MAC – Mandatory Access Control / Media Access Control

MAC - Message Authentication Code

MAN - Metropolitan Area Network

MBR – Master Boot Record

MD5 – Message Digest 5

MDF- Main Distribution Frame

MFD- Multifunction Device

MOA- Memorandum of Agreement

MOU--Memorandum of Understanding

MPLS – Multiprotocol Label Switching

MSCHAP – Microsoft Challenge Handshake Authentication Protocol

MSS - Managed Security Service

MTBF- Mean-Time Between Failure

MTTR- Mean Time To Recovery

MTU - Maximum Transmission Unit

NAC – Network Access Control

NAS- Network Attached Storage

NAT – Network Address Translation

NDA--Non-Disclosure Agreement

NIDS – Network Based Intrusion Detection System

NIPS – Network Based Intrusion Prevention System

NIST – National Institute of Standards & Technology

NLA – Network Level Authentication

NOS – Network Operating System

NTFS - New Technology File System

NTLM – New Technology LANMAN

NTP - Network Time Protocol

OCSP-Online Certificate Status Protocol

**OLA--Operating Level Agreement** 

ORB- Object Request Broker

OS – Operating System

OVAL – Open Vulnerability Assessment Language

PaaS- Platform as a Service

PAP – Password Authentication Protocol

PAT - Port Address Translation

PBX – Private Branch Exchange

PCI-DSS- Payment Card Industry Data Security Standard

PDP- Policy Distribution Point

PEAP – Protected Extensible Authentication Protocol

PED - Personal Electronic Device

PEP- Policy Enforcement Point

PFS- Perfect Forward Secrecy

PGP – Pretty Good Privacy

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PII – Personally Identifiable Information **PII-Personal Identifiable Information PIP-** Policy Information Point PKI – Public Key Infrastructure POTS – Plain Old Telephone Service PPP - Point-to-point Protocol PPTP – Point to Point Tunneling Protocol PSK – Pre-Shared Key PTZ - Pan-Tilt-Zoom QoS- Quality of Service RA-Recovery Agent RAD - Rapid application development RADIUS - Remote Authentication Dial-in User Server RAID - Redundant Array of Inexpensive Disks RAS – Remote Access Server RBAC - Role Based Access Control RBAC – Rule Based Access Control **RFI-** Request for Information **RFP-** Request for Proposal **RFQ-** Request for Quote RSA – Rivest, Shamir, & Adleman RTO – Recovery Time Objective RTP – Real-Time Transport Protocol S/MIME – Secure / Multipurpose internet Mail Extensions SaaS - Software as a Service SAML--Security Assertions Markup Language SAN – Storage Area Network SCADA—Supervisory Control and Data Acquisition SCAP - Security Content Automation Protocol **SCP-** Secure Copy SCSI - Small Computer System Interface SDL- Security Development Life Cycle SDLC - Software Development Life Cycle SDLM - Software Development Life Cycle Methodology SHA – Secure Hashing Algorithm SHTTP - Secure Hypertext Transfer Protocol SIEM- Security Information Event Management SIM – Subscriber Identity Module SLA – Service Level Agreement **SLA--Service Level Agreement** SLE - Single Loss Expectancy S/MIME – Secure / Multipurpose Internet Mail Extensions SMS - Short Message Service SMTP – Simple Mail Transfer Protocol SNMP - Simple Network Management Protocol SOAP--Simple Object Access Protocol

SOA- Service Oriented Architecture

SOA--Start of Authority

SOE- Standard Operating Environment

SONET – Synchronous Optical Network Technologies

SOX- Sarbanes-Oxley Act

SP- Service Provider

SPIM - Spam over Internet Messaging

SPIT- Spam over Internet Telephony

SPML- Service Provisioning Markup Language

SRTM- Software Requirements Traceability Matrix

SRTP – Secure Real-time Protocol

SSD- Solid State Drive

SSDLC-- Security System Development Life Cycle

SSH – Secure Shell

SSL – Secure Sockets Layer

SSO – Single Sign On

STP - Shielded Twisted Pair

TACACS – Terminal Access Controller Access Control System

TCO – Total Cost of Ownership

TCP/IP – Transmission Control Protocol / Internet Protocol

TKIP - Temporal Key Integrity Protocol

TLS – Transport Layer Security

TOS- Type of Service

TPM – Trusted Platform Module

TSIG- Transaction Signature Interoperability Group

UAC – User Access Control

UAT - User Acceptance Testing

UDDI- Universal Description Discovery and Integration

UDP – User Datagram Protocol

UPS - Uninterruptable Power Supply

URL - Universal Resource Locator

USB - Universal Serial Bus

UTP - Unshielded Twisted Pair

VDI—Virtual Desktop Infrastructure

VLAN - Virtual Local Area Network

VoIP - Voice over IP

VPN – Virtual Private Network

vSAN - Virtual Storage Area Network

VTC – Video Teleconferencing

WAC- Web Access Control

WAF- Web-Application Firewall

WAP-Wireless Access Point

WAYF- Where Are You From

WEP – Wired Equivalent Privacy

WIDS - Wireless Intrusion Detection System

WIPS – Wireless Intrusion Prevention System

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WPA – Wireless Protected Access
WSDL- Web Services Description Language
XSRF - Cross-Site Request Forgery
CSRF- Cross-Site Request Forgery
XACML- eXtensible Access Control Markup Language
XSS - Cross-Site Scripting

# **CASP Proposed Hardware and Software List**

\*\*Candidates should have basic knowledge of vendor specific tools and technologies, as this knowledge may be required for the CompTIA CASP Certification Exam. CompTIA has included this sample list of hardware and software to assist candidates as they prepare for the CASP exam. This list may also be helpful for training companies who wish to create a lab component to their training offering.

Equipment

- Laptops
- Virtualized appliances (firewall, IPS, SIEM solution, RSA authentication, Asterisk PBX)
- Basic server hardware (Email server/active directory server, trusted OS)
- Basic NAS ("Free NAS")
- Tokens
- Mobile devices
- 2 switches (managed switch) IPv6 capable
- Router IPv6 capable
- Gateway
- WAP
- WAF
- IPv6 and IPv4
- Proxy server
- Load balancer
- CA server

### Spare hardware

- NICs
- Power supplies
- External USB flash drive
- Access points

Spare parts

• Patch cables

#### Software

- Packet Sniffer
- Vulnerable web applications (web-goat, hacme bank, dvl)
- Windows
- Linux
- VMWare player / Virtualbox
- Vulnerability assessment tools
- Visio (diagramming software)
- Port scanner
- SSH and Telnet utilities
- Threat modeling tool
- Host IPS
- Helix software
- Backtrack CD

#### Other

- Sample logs
- Sample network traffic (pcap)
- Sample organizational structure
- Sample network documentation